

1 3 JUL 2001 #3

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Applicant : Jackwerth et al.
Appl. No. : 09/831431
Filed : 07/13/01
I.A. No. : PCT/EP99/08286
I.A. Filing Date: 10/30/99
Priority Date : 11/09/98
Title : UTILIZATION OF CATION-ACTIVE MIXTURES

Grp./A.U. : Unknown
Examiner : Unknown

Docket No. : H 3739 PCT/US

TRANSMITTAL OF DECLARATION
UNDER 37 CFR SECTION 1.494/5(c)

Commissioner for Patents
Box PCT
Washington, D.C. 20231

Attn: DO/EO/US

Sir:

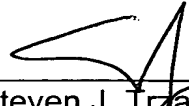
No original declaration or oath was filed earlier herein. Accordingly, enclosed is the original declaration or oath for this application.

Please charge our Deposit Account No. 50-1177 in the amount of \$130.00 as prescribed by 37 CFR 1.492(e) for the surcharge and processing fee for filing a declaration on a date later than 20/30 months after the priority date of the application. This sheet is enclosed in triplicate along with an executed declaration. Order No. 01-0424. Authorization is also granted to charge any deficiency to Deposit Acct. 50-1177.

07/18/2001 HNGUYEN 00000155 501177 09831431
01 FC:154 130.00 CH

Respectfully submitted,

Cognis Corporation
2500 Renaissance Blvd., St. 200
Gulph Mills, PA 19406


Steven J. Trzaska
(Reg. No. 36296)
Attorney/Agent for Applicant
(610) 278- 4929

☐

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket Number	H 3739 PCT/US
First Named Inventor	Jackwerth, Bettina
COMPLETE IF KNOWN	
Application Number	09/831,431
Filing Date	07/13/01
Group Art Unit	
Examiner Name	

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

UTILIZATION OF CATION-ACTIVE MIXTURES

(Title of the Invention)

☐ is attached hereto

OR

<input checked="" type="checkbox"/>	was filed on (MM/DD/YYYY)	10/30/1999	as United States Application Number or PCT International
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Application Number **PCT/EP99/08286** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

[illegible]

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
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☐ application numbers
are listed on a
supplemental priority
sheet attached hereto.

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DECLARATION**Page 2**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP99/08286	10/30/1999	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

<input type="checkbox"/> Firm Name		Customer Number	or label	
OR				

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
John E. Drach	32,891	Steven J. Trzaska	36,296
Aaron E. Ettelman	42,516	Henry E. Millson, Jr.	18,980

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number or label **23657** OR ☐ Fill in correspondence address below

Name	Steven J. Trzaska				
Address					
Address					
City		State		Zip	
Country		Telephone	610-278-4929	Fax	610-278-6548

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Bettina	Middle Initial		Family Name	Jackwerth
Inventor's Signature	<i>Bettina Jackwerth</i>			Date	June 01, 2001
Residence: City	Langenfeld	State		Country	Germany
Post Office Address	Brunnenstrasse 33 b				
Post Office Address					
City	40764 Langenfeld	State		Country	Germany
Applicant Authority					

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

Type a plus sign (+) inside this box → ☐

H 3739 PCT/US

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Thomas	Middle Initial		Family Name	Gassenmeier	Suffix e.g. Jr.	
Inventor's Signature	Thomas Gassenmeier			Date	June 01, 2001		
Residence: City	Duesseldorf	State		Country	Germany	Citizenship	Germany
Post Office Address	Mannheimer Weg 16						
Post Office Address							
City	40229 Duesseldorf	State		Zip		Country	Germany
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Cristina	Middle Initial		Family Name	Amela Conesa	Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City	Barcelona	State	EX4	Country	Spain	Citizenship	Spain
Post Office Address	Paseo Valldaura 162, 6-1						
Post Office Address							
City	08042 Barcelona	State		Zip		Country	Spain
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Esther	Middle Initial		Family Name	Prat Queralt	Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City	Alella	State	ESX	Country	Spain	Citizenship	Spain
Post Office Address	Calle Guillerias 18						
Post Office Address							
City	08328 Alella	State		Zip		Country	Spain
						Applicant Authority	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name		Middle Initial		Family Name		Suffix e.g. Jr.	
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
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Attorney Docket
Number _____

H 3739 PCT/US

First Named
Inventor

Jackwerth, Bettina

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

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the specification of which

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Prior Foreign Application
Number(s)

Country

Foreign Filing Date
(MM/DD/YYYY)

Priority
Not Claimed

Certified Copy Attached?
YES NO

198 51 429.8

Germany

11/09/1998

X

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I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)

Filing Date (MM/DD/YYYY)

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DECLARATION**Page 2**

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OR

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Aaron E. Ettelman	42,516	Henry E. Millson, Jr.	18,980

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Please direct all correspondence to: ☒ Customer Number or label **23657** OR ☐ Fill in correspondence address below

Name **Steven J. Trzaska**
Address
Address
City State Zip
Country Telephone **610-278-4929** Fax **610-278-6548**

hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name **Bettina** Middle Initial Family Name **Jackwerth** Suffix e.g. Jr.

Inventor's Signature Date

Residence: City **Langenfeld** State Country **Germany** Citizenship **Germany**

Post Office Address **Brunnenstrasse 33 b**

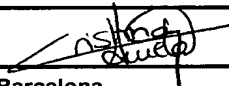
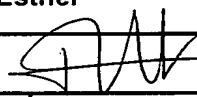
Post Office Address

City **40764 Langenfeld** State Zip Country **Germany** Applicant Authority

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

Type a plus sign (+) inside this box → ☐

H 3739 PCT/US

DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet				
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Thomas		Middle Initial		Family Name	Gassenmeier		Suffix e.g. Jr.	
Inventor's Signature						Date			
Residence: City	Duesseldorf		State		Country	Germany		Citizenship	Germany
Post Office Address	Mannheimer Weg 16								
Post Office Address									
City	40229 Duesseldorf		State		Zip		Country	Germany	Applicant Authority
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Cristina		Middle Initial		Family Name	Amela Conesa		Suffix e.g. Jr.	
Inventor's Signature						Date	June 01, 2001		
Residence: City	Barcelona		State		Country	Spain		Citizenship	Spain
Post Office Address	Paseo Valldaura 162, 6-1								
Post Office Address									
City	08042 Barcelona		State		Zip		Country	Spain	Applicant Authority
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name	Esther		Middle Initial		Family Name	Prat Queralt		Suffix e.g. Jr.	
Inventor's Signature						Date	June 01, 2001		
Residence: City	Alella		State		Country	Spain		Citizenship	Spain
Post Office Address	Calle Guillerias 18								
Post Office Address									
City	08328 Alella		State		Zip		Country	Spain	Applicant Authority
Name of Additional Joint Inventor, if any:					<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name			Middle Initial		Family Name			Suffix e.g. Jr.	
Inventor's Signature						Date			
Residence: City			State		Country			Citizenship	
Post Office Address									
Post Office Address									
City			State		Zip		Country		Applicant Authority
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto									